



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

533 Rec'd PCT/PTO 08 JUL 2002

AKIYAMA et al.
10/089,402
March 28, 2002
Title: OPTICAL RECORDING MEDIUM, SUBSTRATE FOR OPTICAL RECORDING MEDIUM AND OPTICAL DISK DEVICE

Examiner: unknown
Group Art Unit: unknown
Docket: 10873.866USWO

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: , Commissioner for Patents, Washington, D.C. 20231 on July 2, 2002.

By: 

Name: Jennifer Holden

Commissioner for Patents
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 11 References
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: 

Name: Douglas P. Mueller

Reg. No.: 30,300

DPM/jh



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S/N 10/089,402

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: AKIYAMA et al. Examiner: unknown *AY 2652*
Serial No.: 10/089,402 Group Art Unit: unknown
Filed: March 28, 2002 Docket No.: 10873.866USWO
Title: OPTICAL RECORDING MEDIUM, SUBSTRATE FOR OPTICAL
RECORDING MEDIUM AND OPTICAL DISK DEVICE

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By: *Jennifer Holden*

Name: Jennifer Holden

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents
Washington, D.C. 20231

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Technology Center 2800

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. § 1.98(a)(3)):

WO84/3988 is the English equivalent to Japanese document 59-177744 A.

Japanese document 10-31822 A corresponds with EPO document 0 801 382 A2 and

Japanese document 6-176404 A corresponds with EPO document 0 588 305 A2.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a

reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

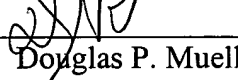
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

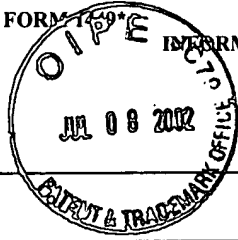
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Dated: July 2, 2002

By: 
Douglas P. Mueller
Reg. No.: 30,300

DPM/jh

FORM 1449 INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 10873.866USWO	Application Number: 10/089,402
	Applicant: AKIYAMA et al.	
	Filing Date: March 28, 2002	Group Art Unit: unknown



U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,638,354	June 1997	NAKAYAMA et al.			

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FOREIGN PATENT DOCUMENTS								
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
						YES	NO	
	0 801 382 A2	Oct. 1997	EPO					
	0 588 305 A2	Mar. 1994	EPO			See EP 0 588 305 A2		
	0 933 758 A2	Aug. 1999	EPO					
	10-31822 A	Feb 1998	Japan			See EP 0 801 382 A2		
	6-176404	June 1994	Japan					
	11-162019	June 1999	Japan			Abstract		
	11-203710 A	July 1999	Japan			Partial & Abstract		
	59-177744	Oct. 1984	Japan			Abstract		
	0 973 155 A2	Jan. 2000	EPO					
	0 867 869 A2	Sept. 1998	EPO					

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		



EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	